

SHARDA COMMODITIES PVT. LTD.

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Client Code Modification and Error Code Policy

The main objective of the company to frame a policy for modification of client code for post trade execution. Further educate the dealer and create awareness among them about this policy.

Trade are executed in respective clients account and are not transferred from one client code to another client code or from client code to pro or vice versa in the back office.

Modification of client codes of trades on exchange platform, if any are done to rectify genuine error in entry of client code at the time of placing/modifying the related order.

Error account is created and UCC is uploaded to exchange to rectify the genuine error.

Any trade on account of genuine error is shifted to "Error" account and further square up in the market in the Error account.

Trades transferred to error account are reviewed/Monitor properly to check the genuineness and to prevent the mistake.

Policy would be modified time to time as per circular of SEBI/Exchanges.

References to the Circular

SEBI Circular No: CIR/DPND/6/2011 Dated Jan 01, 2011

SEBI Circular No: CIR/DPND/1/2011 Dated July 05, 2011

